

Securities Transfer Request and Notification Form

You can transfer shares directly from your brokerage account to ours. You may use this form to provide instructions to your broker. We kindly ask that you also send us a copy at donate@catawbalands.org, or call us at 704.342.3330, ext 2221 so we can be sure to recognize you and apply your gift properly.

Date: _____

To: _____ *(your broker's name)*

_____ *(brokerage firm)*

_____ *Broker's phone number)*

Please accept this letter as authorization to irrevocably transfer:

Shares: _____ Name/symbol of stock or fund: _____

From account number: _____

Which you hold in the name of: _____

(account title at brokerage firm, e.g. Jane Smith Family Fund)

Signature(s) _____

of account _____

owner(s): _____

Please transfer to:

Pershing Advisor Solutions LLC
One Pershing Plaza, 4th Floor
Jersey City, New Jersey 07399

Account Name: Catawba Lands Conservancy
DTC Number: 0443
Account Number: 5QR402486

Instructions for Catawba Lands Conservancy and Carolina Thread Trail:

For acknowledgment of the gift please list my/our name(s) as follows:

Preferred Name: _____

Mailing Address: _____

This gift is _____

anonymous _____

This gift is designated for:

Catawba Lands
Conservancy

Carolina Thread
Trail

Split

Where most
needed